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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Wei-cheng Wilson Lin et al. Art Unit: 2874  
Serial No.: 10/804,279  
Filed : March 18, 2004  
Title : OPTICAL DEVICES HAVING WGM CAVITY COUPLED TO SIDE-POLISHED FIBER PORT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information Disclosure Statement and documents listed on form PTO-1449.

This filing is being made before the receipt of a first Office action on the merits. No fee is required.

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January 6, 2005

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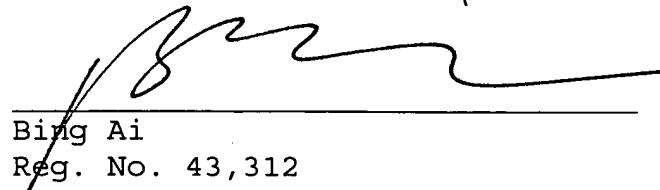
Name of Person Signing Certificate

Applicant : Wei-cheng Wilson Lin et al.  
Serial No.: 10/804,279  
Filed : March 18, 2004  
Page : 2 of 2

Attorney's Docket No.: 12227-  
070001

Please apply any additional charges or credits to Deposit  
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Respectfully submitted,

  
Bing Ai  
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Date: January 6, 2005

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12227-070001	Application No. 10/804,279
<b>Information Disclosure Statement</b> <b>by Applicant</b> <small>(Use several sheets if necessary)</small> <small>(37 CFR §1.98(b))</small>		<b>Applicant</b> <b>Wei-cheng Wilson Lin et al.</b>	
<small>JAN 10 2005</small>		Filing Date March 18, 2004	Group Art Unit 2874

<b>U.S. Patent Documents</b>							
Examiner Initial	Desig. ID	Publication Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,490,391	12/3/02	Zhao et al.			
	AB	6,501,875	12/31/02	Zhao et al.			
	AC	6,516,114	2/4/03	Zhao et al.			
	AD	6,542,663	4/1/03	Zhao et al.			
	AE	6,549,713	4/15/03	Pi et al.			
	AF	6,556,746	4/29/03	Zhao et al.			
	AG	6,571,035	5/27/03	Pi et al.			
	AH	6,597,833	7/22/03	Pi et al.			
	AI	6,621,952	9/16/03	Pi et al.			
	AJ	6,621,951	9/16/03	Zhao et al.			
	AK	6,625,349	9/23/03	Zhao et al			

<b>Foreign Patent Documents or Published Foreign Patent Applications</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AL						
	AM						
	AN						
	AO						
	AP						

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	AQ	Ramos, et al.; Oblique-Tip Fiber-Optic Sensors for Multiphase Fluid Discrimination; Journal of Lightwave Technology, Vol. 17, No. 8, August 1999
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	